INTERIM ADVICE NOTE $135 / 10$


## Executive summary

This Interim Advice Note (IAN) sets out the requirements for the Highways Agency and Service Providers for the assessment and reporting of the effects highway projects on landscape character and on views from sensitive visual receptors. It has application to new construction, improvement and maintenance projects. It has been prepared in accordance with the principles set out in DMRB Volume 11 Section 2 providing a methodology for considering the significance of identified effects.

The IAN replaces DMRB Volume 11 Section 2 Part 5 for use in England.


## Landscape and Visual Effects Assessment

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## Structure of Advice Note

1.1 The general principles are set out in the following chapters. Details relevant to the specific assessment of landscape and visual effects are covered in annexes 1 and 2 respectively. Definitions of terms are provided in annex 3.

## Scope of Advice Note

1.2 This Advice Note gives guidance on the assessment of the landscape (a generic term which includes 'townscape' or urban areas) and visual effects that may result from a road project. It expands on the advice set out in Volume 11 Sections 1 and 2 which should be read in conjunction with this advice.

## Implementation

1.3 This IAN must be used forthwith on all projects for the assessment of all motorway and all purpose trunk roads except where the procurement of works has reached a stage at which, in the opinion of the Highways Agency, its use would result in significant additional expense or delay progress (in which case the decision must be recorded)

## Equality Impact Assessment


1.4 This IAN promotes consistency in Highways of approach to landscape assessment. Any adverse or beneficial effects that arise from the introduction of this guidance are not expected to discriminate against any defined group in society. Therefore a social equality impact assessment is not considered necessary.

## Risk Assessment

1.5 This IAN promotes consistency of Highways Agency internal procedures and provides guidance to ensure tegislation is complied with. Any adverse or beneficial impacts that result from the introduction and adoption of this guidance are not expected to result in implications for health and safety. No risk assessment has been carried out in the development of this Standard as it is not considered relevant.

## The Intended Audience for the Advice Note

1.6 This Advice Note is intended for use by suitably qualified professionals carrying out landscape and visuat impact assessments of UK trunk road projects, including new construction, improvement and maintenance projects. It should also be used for reference by Project Managers, Environmental Co-ordinators, Design Organisations, Agents and Contractors when dealing with the Overseeing Organisation's environmental assessments.

## 2 Landscape and UK Highways

## Definition of Subject

2.1 The European Landscape Convention (Florence: Council of Europe, 2000, ETS 176), defines 'Landscape' as 'an area, as perceived by people, whose character is the result of the action and interaction of natural and/or human factors'
2.2 The Guidelines for Landscape and Visual Impact Assessment (GLVIA), published jointly by The Landscape Institute and the Institute of Environmental Management and Assessment (2 ${ }^{\text {nd }}$ edn. 2002) state that 'Landscape encompasses the whole of our external environment, whether within villages, towns, cities or in the countryside' (GLVIA para 2.1). Therefore this guidance does not differentiate between 'landscape' and 'townscape', and the approach taken applies to any landscape whether the context is urban, rural or a combination of both.
2.3 It should also be noted that 'Landscapes are considerably more than just the yisual perception of a combination of landform, vegetation cover and buildings - they embody the history, land use, human culture, wildlife and seasonal changes of an area. These elements combine to produce distinctive local character and continue to affect the way in which the landscape is experienced and valued. However, the landscape is also dynamic, continually evolving in response to natural or maninduced processes' (GLVIA para 2.3)
2.4 The assessment of landscape and visual effects are/separate but linked procedures, in that the landscape is considered as an environmental resource whereas visual effects are assessed as one of the interrelated effects on population. Landscape effects are derived 'from changes in the physical landscape, which may give rise to changes in its character and how this is experienced. This may in turn affect the perceived value ascribed to the landscape (GLVIA para 2.14), whilst visual effects 'relate to the changes that arise in the composition of available views as a result of changes to the landscape, to people's responses to the changes, and to the overall effects with respect to visual amenity' (GLVIA para 2.15).

## Regulatory/Policy Framework

2.5 In 2000, the European Landscape Convention was produced by the Council of Europe, the aims of which are 'to promote landscape protection, management and planning, and to organise European co-operation on landscape issues'. This was the first international convention to focus specifically on landscape. The Convention was signed by the UK government on 24 February 2006 and ratified by the Council of Europe on the 21 November 2006. It is significant in that it acknowledges 'that the landscape is an important part of the quality of life for people everywhere: in urban areas and in the countryside, in degraded areas as well as in areas of high quality, in areas recognised as being of outstanding beauty as well as everyday areas'. The recognition that all Jandscapes are potentially important, irrespective of location or condition, should be considered in any assessment of landscape effects and is acknowledged within this Advice Note.
2.6 The statutory organisations that advise on landscape issues in the UK are Natural England (formerly the Countryside Agency, English Nature and the Rural Development Service), Scottish Natural Heritage, the Countryside Council for Wales and the Environment, and the Northern Ireland Environment Agency. These organisations offer policy and advice on landscape, and are the primary source of definitive information and opinion on statutorily protected landscape areas.
2.7 In particular, section 62 of the Environment Act 1995 (England and Wales) places a duty on government bodies to have regard to the purposes of National Parks (i.e. by attaching greater weight to the purposes of conserving and enhancing natural beauty, etc,), and section 85/Part V of the Countryside and Rights of Way (CRoW) Act 2000 places similar duties for Areas of Outstanding Natural Beauty (AONBs).
2.8 Local planning authorities (and National Park Authorities where appropriate) also designate landscapes in development plans and hold information on regional and locally important landscapes to which policies apply. The hocal Authority should be consulted at an early stage to gain information about the local landscape.

## Key Issues/Impacts/Effects

2.9 For landscape and visual effects, the key issues are likely to be:

- Whether the effects are temporary (e.g. the impact of a construction compound) or permanent (e.g. the removal of existing features or creation of new infrastructure);
- direct effects or physical change to the landscape (e. g. landform changes, vegetation changes, changes to built features);
- indirect effects on the-character and quality of the landscape (e.g. changes in the perception of the landscape through the introduction of features alien to the character of the landscape such as lighting etc.);
- direct effects on the visual amenity of visual receptors (e.g. changes in views and their composition for walkers, tourists etc, caused by the project);
- indirect effects on visual receptors in different places (e.g. an altered visual perception leading to changes in public attitude, behaviour and how they value or use a place).
- How the project would relate in landscape and visual terms to any other proposed development (e.g. urban regeneration schemes, housing development etc.).


## Overlaps and Interactions with Other Subjects

2.10 Some other topics within an environmental assessment may touch on landscape issues or use landscape data, and care must be taken to avoid 'double counting' in the assessment process. This will require liaison between the various specialists at an early stage in order to eliminate overlaps, identify gaps and remove confusion.
2.11 This is particularly relevant for the Historic Landscape sub-topic of the Cultural Heritage advice note (see Volume 11 SECTION 3 Part 2), where the combination of historic landscape evidence and the potential change in the setting of, or views from historic monuments are clearly related (see 'Assessing the Effect of Road Schemes on Historic Landscape Character', Highways Agency, 2007).
2.12 Another area of potential overlap is with Nature Conservation (see Volume 11 SECTION 3 Part 4), since landscape provides the context for habitats and species, and the potential loss of landscape features and/or land severance/fragmentation will also affect biodiversity. Social and community issues (see Volume 11 SECTION 3 Part 8) may also overlap with the landscape topic (i.e. the way that spaces are used and valued by the local community).
2.13 It is also important to be aware of project mitigation proposals that may involve other topic areas, such as the installation of noise screening that would also have visual implications. Conversely, landscape requirements, such as screen planting, may, for example, disturb archaeological remains or conflict with wildlife considerations.

## Project Objectives

2.14 At an international level the European Landscape Convention sets high level objectives which are reflected at the national level in the Government's statutes and planning guidance.
2.15 The scheme specific project objectives should therefore take account of priorities set out by the Overseeing Organisation and statutory organisations, including where applicable any high level objectives arising from Strategic Environmental/Assessment (SEA) of plans and programmes. Local authority (and, where relevant, National Park) plans and initiatives may also contain relevant priorities for local objectives.
2.16 Project level objectives should inform the early stages of project definition (i.e. the consideration of route and/or design options), since landscape aspects should be used at the appraisal stage to help shape the final design.


## 3

## Assessment Process

## Overview of Process

3.1 The flow chart at figure 1 summarises the links and differences between the use of Scoping, Simple and Detailed Assessments. It is important to recognise that the process is consequential rather than sequential (i.e. further detailed work will not automatically follow if the assessment shows that significant effects are unlikely). The assessment levels are outlined as follows;

## Scoping


3.2 The Scoping Exercise should determine whether or not the project is likely to give rise to any landscape or visual effects. It is important to consider the location and context of the project in this respect; for example, projects to maintain or renew existing structures, minor realignment projects, or lighting schemes in wellit urban areas may not give rise to any additional landscape or visual effects. The output of the Scoping Exercise will be a recommendation for no further work, or for a Simple or Detailed Assessment as appropriate.

## Simple


3.3 A Simple Assessment should be used when it is considered unlikely that the project would have any significant landscape and/or visual effects.
3.4 For landscape effects this situation may occur;

- when the landscape resource is in a poor or degraded condition.
- when impacts are temporary or minor in scale (e.g. the addition of new signage to an existing signed road, managed motorway schemes, minor improvements such as the introduction of a local junction or roundabout).
3.5 For visual effects this situation may occur;
- where there are no sensitive receptors (e.g. a project in a commercial or industrial area)
- where there are no nearby receptors (e.g. residential properties some distance away)


## Detailed

3.6 A Detailed Assessment should be used when significant landscape and/or visual effects are anticipated.
3.7 For landscape effects this situation may occur;

- when the landscape resource is of distinctive quality with a range of landscape elements in good condition.
when impacts are significant in terms of duration and scale (e.g. major new road improvements, road widening projects; major lighting schemes).
3.8 For visual effects this situation may occur;
- where there are sensitive receptors in the immediate vicinity (e.g. a recreational path or residential properties)
- where there are large numbers of sensitive receptors (e.g. a residential suburb which overlooks the project).


Figure 1 Assessment Levels for Landscape and Visual Effects


## Value/Sensitivity of Resource

3.9 A baseline situation for landscape studies is determined through the process of Landscape Character Assessment. This subject is comprehensively covered in 'Landscape Character Assessment Guidance for England and Scotland' (LCA), published in 2002 by the former Countryside Agency and Scottish Natural Heritage (SNH). It provides 'an appropriate way to look at landscape because it provides a structured approach to identifying character and distinctiveness as well as value' (LCA para 1.12).
3.10 The baseline studies for visual assessment are determined from the extent and nature of existing views, and the nature and characteristics of the visual amenity of the potential receptors (i.e. people).
3.11 The sensitivity of visual receptors can reasonably be assumed in advance of any potential change as part of the baseline studies. Whilst it is also possible to record potentially sensitive landscape receptors as part of the baseline (see GLVIA para 6.12), it is more appropriate to consider landscape sensitivity as part of the assessment of the significance of effects, since it depends on the degree to which a particular landscape type or area can accommodate change arising from a particular development, without detrimental effects on its character'. (GLVIA para 7.16).

## Baseline Studies

3.12 A baseline situation is established by a combination of desk study, consultation and field survey. The purpose of the baseline study is to understand the landscape and visual context upon which the proposed project may have an effect.
3.13 The baseline landscape and visual context is the 'Do-Minimum' scenario and is defined as that which exists immediately prior to the start of construction of the proposed project (including existing roads and other infrastructure elements), together with any known or likely changes (other consented development proposals for instance), which will take place before the projected completion of the project.
3.14 A study area must be established at the outset of the assessment. This will cover the area to be assessed, and should contain all of the likely significant effects of the proposal on any component of the landscape and visual resource. This is likely in most cases to extend beyond the physical limits of the project, but should be limited to what is necessary to assess the potential significance of the effects of the project.
3.15 Consultation should be carried out at an early stage in the assessment process to supplement data collection by identifying past and present perceptions of special interest or value. Consultation with a wide range of stakeholders may be appropriate (e.g. statutory environmental bodies, local authorities, local residents and other interest groups), but should be limited to what is appropriate for the size and complexity of the project and the level of assessment. A list of consultees should be agreed with the Overseeing Organisation.

Landscape Baseline
3.16 Landscape Character Assessment is essentially a two stage process involving the relatively value-free process of characterisation and the subsequent making of judgements based on knowledge of landscape character (see LCA figure 2.4 and box 2.2). One of the outputs of this process is to determine the value of the landscape, both of character areas and individual features and elements.
3.17 A useful context for the project specific landscape character assessment in England is provided by the National Character Area descriptions published by Natural England, and by the Landscape Character Network who hold a database of local authority landscape character assessments. Similar assessments are also published by the other UK administrations (e.g. in Wales the Countryside Council for Wales has published LANDMAP, which provides information on the assessment of the landscape on a national and local authority/National Park basis, and in Scotland SNH has published a series of Landscape Character Assessment reports which provide information on a region by region basis across the country).
3.18 The initial stages in the process of landscape character assessment involve a factual description of the components that make up the landscape (landform, vegetation, landuse etc.), together with a description of more experiential elements such as scale, pattern, tranquillity, wildness etc. The condition (i.e. quality) of the elements which make up the landscape should also be assessed. The result of this part of the process is the identification of areas of landscape character, with relatively value free descriptions and identification of the key characteristics that are important in creating the character of each area.
3.19 The final stages in the process involve making judgements about landscape character that will inform particular decisions, which in the case of UK trunk roads are related to the importance or value attached to the landscape. These judgements are guided by known facts, such as local or national designations, historic and cultural aspects, local opinion, importance to the local community etc. For example, a battlefield site may no longer contain visible evidence of that particular event, but knowledge of what took place greatly enhances the value of that particular area of landscape for a number of reasons. Similarly, knowledge of where famous artists or poets lived or worked also enhances the value of particular landscapes (e.g. Dedham Vale in Suffolk is known as 'Constable Country').

## Visual Baseline

3.20 A baseline situation for visual studies is determined through gaining an understanding of the visual amenity of the area, which will be informed by the Landscape Character Assessment, and the potential extent of visibility of the project. This will involve mapping the 'Zone of Visual Influence' (ZVI), which is defined as the 'area within which a proposed development may have an influence or effect on visual amenity' (GLVIA, Glossary).
3.21 The baseline will also require the identification of visual receptors and their sensitivity to change, including defining the extent and quality of their existing views.

## Magnitude and Types of Impacts

3.22 The magnitude of impact is the degree of change that would arise if the project were to be completed (i.e. 'Do Something'), as compared with a 'Do Minimum' situation. Factors to consider are the scale of the impact (e.g. would the change be large and widespread or small and localised?), the nature of the impact (e.g. would the change contrast markedly with the receiving landscape or blend in?), whether it is an adverse or beneficial change, and the timescale involved (i.e. of short duration or longer term).
3.23 In assessing the potential impact of a project, all elements of the work should be considered and whether the impact would be temporary of permanent, direct or indirect, or cumulative. For example, the impacts of access arrangements and the disposal of excess material should be considered as well as the impact of the completed structure. Similarly, the impact of any ancillary items such as signs, gantries, noise barriers, CCTV masts and lighting should also be considered.
3.24 In addition to the fixed infrastructure, the impacts of predicted traffic movement should be considered. In some cases movement of traffic could be more visible and create a greater impact than the presence of the road itself (e.g. an at-grade, unlit road in a flat landscape may not be widely visible, but the traffic passing along it could be). Conversely, a reduction in traffic consequent upon the project (for example within a town which has been bypassed) should also be considered. There may also be impacts resulting from the scheme due to altered traffic flows beyond the immediate project boundaries, and any significant visual change would need to be considered.
3.25 The impact of temporary works to facilitate construction (e.g. site compounds, access roads, borrow pits and storage areas) should also be considered, although these may be subject to planning controls rather than highwaysprocedures. If the location of these facilities is not known at the time of the assessment, then sensitive areas may need to be identified in order to inform construction contractors.

## Significance of Effect

3.26 For landscape effects, the assessment of their significance is determined by considering the magnitude of impact arising from the project on each of the features and elements that make up landscape character, bearing in mind the value of the landscape (and/or of specific features and elements), and the ability of the landscape to accommodate change of the type proposed (i.e. its sensitivity)
3.27 In determining the potential significance of any effect, the sensitivity of the receiving landscape and its capacity to accommodate change without unacceptable adverse effects on its character must be considered. A landscape will not necessarily be significantly adversely affected if the proposed change can be accommodated (e.g. if it can be comfortably set into the topography), and/or if mitigation in keeping with its character can be effectively applied to blend the new project into the landscape. Conversely, effects may be more significant in a landscape where the change cannot be readily accommodated or where mitigation and integration are more difficult.
3.28 For visual effects, the assessment of their significance is determined by considering the sensitivity of the receptor to the magnitude of the change in visual amenity arising from the project.
3.29 In general, more significance is likely to be placed on large long term or permanent changes than small short term temporary ones

## Uncertainty and Validity

3.30 Whilst some aspects of the landscape and visual assessment process are relatively objective (e.g. character analysis) many aspects require professional judgement (e.g. determining landscape quality). In addition, it may be difficult to achieve a consensus regarding value, since different interest groups will perceive the landscape in different ways. It is therefore important that the assessment is as transparent as possible, clearly stating the reasons for making certain judgements and noting any differences of opinion between different interest groups.

## 4 Design and Mitigation

4.1 Mitigation should be addressed as an intrinsic part of the assessment process, amending the design wherever possible to avoid or reduce landscape and/or visual impacts as part of an iterative process. Where this is not feasible, measures such as planting, barriers or earth shaping could help to reduce or possibly remedy a potential adverse landscape and/or visual effect that would otherwise result from the project. In terms of effectiveness however, the mitigation hierarchy should always be to firstly avoid, then, if this cannot be achieved, to reduce, and finally to replace (or remedy).
4.2 Any mitigation which cannot be guaranteed at the time of assessment (such as off site planting by agreement) should not be included in the assessment, but the possible additional effects of such mitigation should be noted separately.
4.3 However, where mitigation works are deemed essential it is imperative that these can be delivered; the landscape professional must work closely with the engineering designer and other consultants, to check that the mitigation measures are deliverable and achievable within the constraints of programme, cost, and other project objectives.


## 5 Management of Environmental Effects

5.1 It is important that any commitments made (e.g. before and within an Environmental Statement or at a public inquiry) regarding the landscape design or mitigation are recorded and checked against the project requirements and objectives as construction proceeds. A handover report should be prepared once the project is completed so that the managing organisation is made aware of any such/issues.


## 6 <br> Monitoring and Evaluation

6.1 Following completion, the project should be monitored to ensure that mitigation measures are maintained and managed to achieve the project objectives and fulfil any commitments made.




## 7 Reporting of Assessments

7.1 Volume 11 Section 2 provides general advice on the relationship between Scoping, Simple and Detailed assessments and their reporting. The following paragraphs provide an indication of the level of information that should be provided for landscape and visual effects at each assessment level. The differences in outputs for Scoping, Simple and Detailed Assessments are discussed in more detail in Annexes 1 and 2.

## Scoping

7.2 The Scoping Exercise must be focussed on informing the decision making process. No work should be carried out which does not directly assist the decision about whether further work is necessary, and if it is, what the appropriate level of assessment required for the next stage of the project should be.
7.3 Information necessary at this stage includes the following, at a level of detail appropriate to a preliminary appreciation:

- the nature, extent and scale of the proposed project;
- areas of designated landscape importance or value;
- location of important visual receptors;
- national, regional or local landscape character areas already identified by others;
- the location and nature of settlements (including planned settlements or other significant planned development), Public Rights of Way and roads/railways.
7.4 The report should include an Ordnance Survey (OS) based key plan at an appropriate scale showing the locations of landscape designations, important visual receptors and scheme options if known.


## Simple

7.5 A Simple Assessment is based around the assembly, assessment and scoping of data beyond that which is readily available (i.e. which would be collected for the Scoping exercise), and adds further appropriate detail gained through investigation of relevant data sources, field surveys and consultation.
7.6 The report should consist of an introduction outlining the scheme context and background, followed by a section outlining the assessment methodology (i.e. methods to be adopted for data collection, baseline evaluation, the assessment of magnitude of impacts and significance of effects). A section should also be provided outlining the implications for the scheme of relevant legislation and summarising the results of any consultation.
7.7 The report should be divided between landscape and visual effects with distinct sections covering baseline, magnitude of impact and significance of effect.
7.8 The report should be illustrated with figures to show on an OS based map any designated areas, landscape constraints and principal features. Key viewpoints and villages or larger centres of population which may experience potential visual effects should also be shown. The proposed project should be illustrated highlighting those elements (where known) which would result in changes to the landscape, such as cuttings, embankments, junctions and the alignment of any new roads and lighting, gantries, signs and other highways infrastructure.

## Detailed

7.9 A Detailed Assessment is required where there is the potential for significant landscape and visual effects. The studies will require detailed desk and fieldwork to identify the character of the landscape, including its condition and value, and the nature and sensitivity of the visual receptors that may be affected by the project.
7.10 The project will need to have been designed in sufficient detail to enable potential impacts to be determined. The sensitivity of the landscape (and of the individual elements and features that define character) must be assessed as to the degree that the proposed changes could be accommodated without altering landscape character. Any mitigation to avoid, reduce or remedy the changes should be taken into consideration in determining the significance of the resultant effects.
7.11 The report should provide the introductory sections as outlined for the Simple Assessment in paragraph 7.6, extended where necessary to explain any additional evaluation methodologies undertaken for the Detailed Assessment. Distinct sections covering landscape and visual effects should be provided.
7.12 The proposed project should be illustrated using plans which highlight those aspects which would result in changes to landscape character and visual amenity such as proposed earthworks (e.g. embankments, cuttings, false cuttings etc.), structures, signs and gantries and the alignment of any new roads, together with the location of significant existing features and agreed mitigation features. Other illustrations such as cross sections and photomontages are likely to be required. A typical figure list (with typical scales) would be as follows;

- Topography (1:25000)
- Landscape Character (1:25000)
- Photographic Viewpoints (1:25000)
- Photographs
- Landscape Designations $(1: 25000)$
- $\quad$ Zone of Visual Influence $(1: 25000)$
- $\quad$ Outline Landscape Design (1:2500)
- Typical Cross Sections (1:500)
- Vegetation Lost to Scheme (1:5000)
- Visual Impact-Properties $(1: 5000)$
- Visual Impact - Rights of Way $(1: 25000)$



## 8 Enquiries

All enquiries should be directed to:
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## Annex 1 Assessment of Landscape Effects

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Study Area
Desk Study
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3 Identification of Impacts and Assessment of the Significance of Landscape Effects

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## 1 Introduction

1.1 This annex outlines the methodology to be used for the assessment of landscape effects, and covers Scoping, Simple and Detailed Assessments. Differences between the three assessment levels are reflected by;

- The potential for the project to give rise to significant landscape effects (i.e. if no significant effects are predicted then a Detailed Assessment will notbe required);
- the degree to which the project design is defined (i.e. if details are unavailable then only a Scoping or Simple Assessment will be possible), and;
- the level of survey data that is available (i.e. a greater level of survey work would need to be completed for a Detailed Assessment).
1.2 Guidance on the difference between the reporting outputs from the methodology for each assessment level is provided in section 4.
1.3 The stages in the assessment (at a level of detail appropriate to Scoping, Simple or Detailed Assessment) are to:
- Define the study area

- Collect and collate information on the landscape;
- Assess the character and value of the landscape through consultation and desk study.
- Carry out site survey to assess landscape character and condition, and augment the desk study.
- Assess the magnitude of impact, or degree of change, caused by the project.
- Assess the sensitivity of the landscape to accommodate change arising from the project.
- Identify and develop mitigation measures as a component of the iterative design process to avoid, reduce and where possible remedy adverse effects.
- Assess the significance of the residual landscape effects.
1.4 This process is outlined in Figure 1.


Figure A1 Summary of Methodology for Assessing Landscape Effects


## 2 Landscape Baseline Study

## Study area

2.1 For landscape effects, the study area should cover the proposed project site and the wider landscape context within which the project may influence landscape character.
2.2 The study area should also include the full extent of any neighbouring features of special value (e.g. designated areas including Historic Parks, Conservation Areas etc.) to reflect the setting of that feature. The setting is defined in response to:

- the contribution of the surroundings to the appearance of an area or feature, and;
- the interrelationship of the area or feature to the wider context and sense of place.


## Desk study

2.3 The desk study assists the overall survey through the implementation of preliminary analytical studies which may then be used to inform and supplement the site survey. These analytical studies may include annotated mapping and cross sections which will provide a useful reference during the assessment process. An initial desk study will allow impressions of the site to be formed from available mapping (i.e. topography, geomorphology, vegetation and the wider geographical context) and will provide knowledge of any particular designations or cultural values that are associated with the area.
2.4 A review of data collected should identify local and wider landscape character together with the natural (e.g. geology/soils, landform, river/drainage systems and landcover/vegetation) and cultural/social factors (e.g. landuse, street pattern, historical background, open spaces and architectural styles) that have influenced the development of the landscape. The amount and quality of available desk based material willvary. The desk study should be tailored to meet the requirements of the particular project.
2.5 Statutory environmental bodies, local planning authorities and where appropriate, residents and local interest groups, should be contacted to provide supplementary data and to identify potential key issues. The following list is not intended to be prescriptive, but gives an indication of the type of aspects about which information could be collected;

- Features or areas recognised by their international designation or treaty, national (statutory) and local (non statutory designations) environmental designations. Examples would include;
statutory designations, such as National Parks or Areas of Outstanding Natural Beauty (AONBs), National Scenic Areas; local planning designations, such as Special Landscape Areas, Regional Scenic Areas, Conservation Areas, Listed Buildings and Tree Preservation Orders; 'important' hedgerows under the terms of the Hedgerow Regulations (1997);
- Registered Parks and Gardens;
- historical or cultural heritage associations (e.g. registered battlefields, World Heritage Sites, historic gardens and designed landscapes);
- any general recognition of the interest of an area (e.g. 'Shakespeare Country');
- local interests (e.g. a village green, community open space).
- Current professional evaluations or studies, design guides, strategies or initiatives and current or future trends. Examples would include;
- the national coverage of 'The Character of England Landscape, Wildlife and Cultural Features' (Natural England), or Scottish, Welsh and Northern Irish equivalents where appropriate (LANDMAP etc.)
- any regional, county, district level or other landscape character assessments (e.g. those that maybe included within environmental statements);
- initiatives by statutory bodies (e.g. the Forestry Commission's forest strategies and the Environment Agency's river corridor surveys), where appropriate.
- Past and future trends and forces forlandscape change. Examples would include;
- future developments with planning permission;
- trends in land management or farming practice;
- the future growth of existing vegetation (or conversely future timber
harvesting of commercial woodland if appropriate);
- potential effects of climate change (e.g. on vegetation).
- Landuse/landcover and settlement patterns taken from historic data, aerial photographs and maps.
- Past and present perceptions of value available from the regulatory authority, local amenity groups and residents.
- Books, journals, and reports including reports on local architecture and history and, if available, Townscape Character Assessments or Conservation Area Character Appraisals, and tourism studies.
- Local or Unitary Development Rlans, Supplementary Planning Guidance including Urban Design Frameworks, Development Strategies, Guides and Design Statements.
- Cross references to other topics for data on planning policy, historic environment, biodiversity, community, and landscape (e.g. listed buildings, Scheduled Ancient Monuments, conservation areas etc.). In particular, the Interpretation of any Historic Landscape Characterisation study for the area (refer to the Cultural Heritage chapter), which will advise on the 'time-depth' dimension of the landscape and its historic integrity or continuity, where this is important to the character of the landscape.

Field Survey
2.6 Landscape Character Assessment is the process whereby the different elements that form the landscape are recorded and assessed. The process can be applied at local, regional and national levels, and the assessment for the project should set the landscape within its national and regional landscape character context.
2.7 The process involves the assessment of a combination of physical (e.g. landform, vegetation, buildings), aesthetic/perceptual (e.g. scale, appearance, tranquillity) and cultural/social (e.g. human interaction, landuse, heritage) aspects which together make up the character of the area. An assessment is also made as to the quality, or condition, of the landscape, which involves consideration of the physical state of the landscape and of the features and elements which make up landscape character.
2.8 The end result in terms of providing the landscape baseline for the project is to divide the study area into specific landscape character areas, with an assessment as to their quality/condition, together with a judgement as to the value of the landscape both as a whole and of the individual character areas, features and elements that make up the landscape and define its sense of place.
2.9 Landscape character types are defined as 'distinct types of landscape that are relatively homogeneous in character. They are generic in nature in that they may occur in different areas in different parts of the country, but wherever they occur they share broadly similar combinations of geology, topography, drainage patterns, vegetation and historical land use and settlement pattern', whilst landscape character areas are 'single unique areas and are the discrete geographical areas of a particular landscape type.' (LCA 2002), Accordingly, character types would have generic names (e.g. 'valley side'), whereas character areas would take on the names of specific places.
2.10 The approach can also be used in urban areas, where the boundary to a character area could be demarcated by building frontage, road, planting or change in land use or edge of settlement. Urban character types would have generic names reflecting the detailed typology of the built fabric (e.g. 1930's semi detached housing), whilst urban character areas would take the names of specific places according to location, neighbourhood, age and cultural or historical association or designation (e.g.
'Riverside Watermeadows Area').
2.11 Although the description of each type or area may run to several paragraphs, it should be possible to summarise the essential character in one line. This could be a simple description of the landscape type (e.g. 'coniferous woodland') landscape type or a fuller description which conveys some sense of its qualities (e.g. 'small scale intimate pasture'). Areas can be geographically specific (e.g. 'The Lower Glebe Brook Valley' landscape character area), with clear visual and physical identities, although in some cases the boundaries between areas may take the form of a transition rather than a precise line, which needs to be described within the report.
2.12 The purpose of the survey is to confirm and supplement the desk study data with current information, which may not be reflected by reports, mapping or aerial photographs. Negative features which detract from the character of the area should also be noted, and whether or not there are opportunities for enhancement. The process should be supported by a comprehensive photographic record (recording the viewpoint position and date of the photograph) and annotated mapping completed during the survey. As with the desk study, the site survey should be tailored to meet the requirements of the particular project, bearing in mind the project objectives and the level of reporting required (i.e. Scoping, Simple or Detailed).
2.13 The following list is not intended to be prescriptive, but gives an indication of the range of aspects that need to be considered;

- Landcover, pattern and texture - the relationship between topography, scale, degree of enclosure and the way in which land is used. For example, pattern and texture will vary greatly depending on whether arable farming dominates over pastoral or vice versa. In urban areas it is influenced by the pattern of the arrangement of streets, plots and their buildings, architectural form and style, and the degree to which an area's pattern of streets and junctions are either small and frequent (i.e. small grain) or large and infrequent (i.e. large grain).
- Scale and appearance - the size of fields, woodlands, buildings and structures in relation to their surroundings and whether or not the overall arrangement is balanced. In urban areas the height and size of built elements and facades in relation to other buildings and spaces determines effects on views, vistas and skylines. The distinctiveness of buildings and structures are influenced by their detail and materials. Detail refers to the craftsmanship, building techniques, façade treatment, styles and lighting. Materials refer to the texture, colour, pattern and durability and how they are used.
- Tranquillity - the remoteness and sense of isolation, or lack of it, within the landscape, which is often determined by the presence or absence of built development and traffic.
- Cultural - how landscape elements of an historic or traditional nature contribute to landscape character. Historical and topographical influences also play a large part in defining the layout of settlements (e.g. the original settlement layout may have been determined by landform or for defensive reasons, or to exploit a river for commerce), but note that consideration of this aspect should be cross referenced to the Cultural Heritage assessment to avoid issues of 'double counting'.
- Human interaction - the way that people interact with the landscape and how activity is focused within a community. Some areas are very busy (e.g. a shopping mall), whereas others are more tranquil (e.g. a large park). Recognisable and locally distinct form with consistent patterns of elements, and/or distinctive features of development (i.e. historic or iconic new buildings), all influence how a landscape is used and valued. (These aspects may need to be cross referenced to the Community and Private Assets assessment).
2.14 Bearing in mind the above aspects, the following list (which is not intended to be prescriptive) gives an indication of the range of attributes that would need to be considered;
- Natural features and elements. Examples would include:
landform (e.g. ridge lines);
trees and woodland (e.g. mature hilltop copse, scrub, or isolated trees); any other natural vegetation (e.g. heathland);
- water features (eg lakes, streams and ditches);
- rock formations.
- Built features and elements. Examples would include;
- prominent buildings or other landmarks (e.g. a church spire or bridge);
- settlements and built form (e.g. urban areas, villages, farms or houses);
- settlement pattern and density (e.g. clustered, isolated or randomly dispersed);
- style and characteristics of the built landscape (e.g. old or modern, use of local vernacular materials such as stone or thatch).
- Historic features and elements. Examples would include;
- visible ancient monuments (e.g. earthworks, burial mounds and standing stones);
- visible historic features remaining from past farming and land management systems (e.g. ridge and furrow);
- historic buildings, bridges and other structures (e.g. memorials)
- Features and elements of the managed landscape. Examples would include:
- hedgerow form or other boundary treatment (e.g. dry stone walling);
- land use (e.g arable, pasture, urban).
- Infrastructure features and elements (e.g. roads, canals, railways)
- Any discordant or intrusive features and elements, such as a conspicuous line of pylons or an area of derelict land.
- Less tangible aesthetic and perceptual characteristics concerned with how the landscape is experienced and why, including professional judgment on tranquillity, wildness, intimacy, sense of place, scenic quality and other responses or impressions.
- The overall level of background noise, noting any intermittent sources (e.g. aircraft or trains).
- Seasonal changes, noting how the landscape changes with the seasons.
- Night time changes, noting existing light sources and night time character.
2.15 Each of the above attributes should be assessed as to how they contribute or detract from the overall character and quality of the landscape. This exercise helps to identify what should be avoided, conserved or protected and what should be enhanced, and provides the basis to consider the sensitivity of the landscape to change as a result of the proposed project.
2.16 Based on the results of the desk study and field surveys, a judgement should also be made as to the value of the landscape. This is based on consideration of character and quality (i.e. of the landscape as a whole and the features and elements that make up character and their condition), together with the value that has been placed upon the landscape by society. Value maybe recognised through designation (e.g. National Park or conservation area), but is often found in undesignated landscapes, particularly at the locallevel (e.g. an urban park or woodland adjacent to a village).
2.17 It should be noted that whilst designated areas will tend to be highly valued, the majority of the country comprises undesignated areas which can still be of high quality and/or of great local importance. The local landscape is usually intimately understood by the people who live and work there, but its value is often overlooked or underestimated in landscape assessments. The character assessment should therefore take account of local public perception.




## 3 Identification of Impacts and Assessment of the Significance of Landscape Effects

3.1 Project proposals should be reviewed alongside the baseline data to identify sources of potential impacts on the landscape in order to determine subsequent landscape effects.
3.2 In general, the following elements of the project should be examined;

- Description and detail of the project design and layout;
- Details contained in the project design that could cause temporary or permanent direct impacts, such as the nature and extent of proposed Jandtake, the location of any elevated parts of the works, demolition and other construction activity, vegetation clearance, drainage, lighting, signage and the treatment of kerbs, paving and other finishes;
- Off site works such as site compounds, borrow pits, access routes and numbers of heavy construction vehicles etc.;
- Aspects of the project that have the potential for indirect impacts, such as changes to the economic-viability of the area and consequential impacts such as hedgerow removal and field amalgamation.
3.3 The assessment of landscape impacts should preferably be undertaken by the same landscape professional who undertook or co-ordinated the baseline assessment/evaluation. The assessment should take account of seasonal differences to ensure that all aspects are covered (e.g. the difference made by street trees in full summer foliage or bare branched in winter).
3.4 Effects on landscape character should be assessed by considering the components that define character and their sensitivity to the type, scale and duration of the proposed change, taking into account any mitigation measures. This will potentially include many different aspects, including the following examples;
- Potential effects on landform/drainage patterns/urban form/street pattern - the proposed project could affect the characteristics of the landform and drainage pattern, and may either follow the contours or run across the grain of the land, with potential changes in the attributes that define landscape character. In urban areas the project could change the building height to width ratios by altering road and space ratios (i.e. vertical and horizontal space ratios), leading to changes in the sense of enclosure and ways in which the spaces are used.
- Potential effects on land cover/land use patterns/urban structure - the project could damage existing vegetation or fit within the existing vegetation structure, or could cut either across field patterns or fit within existing boundaries, leading to varying levels of landscape effects. In urban areas the pattern and
interrelationship of routes and development could be altered, leading to changes in street patterns and accessibility.
- Potential effects on cultural and historic associations - the project could affect the setting of a historic settlement or monument, or buildings and elements of a traditional or historic nature that contribute to the character of an area, leading to adverse effects on character. (Consideration of this aspect should be cross referenced to the Cultural Heritage assessment).
- Potential effects on perceptual aspects - the project could increase the levels of traffic noise and/or urban influence, leading to an adverse effect on the way the
area is perceived, particularly in rural areas where tranquillity and wildness may be important aspects in defining character.
3.5 The assessment should be undertaken for both day and night time situations and compared against the situation that would exist if the project were not to proceed (i.e. the 'Do Minimum'), using the following scenarios:
- In the winter of the year of opening (to represent a maximum effect situation, before any planted mitigation can take effect), taking account of the completed project and the traffic using it, and;
- In the summer of the fifteenth year after project opening, (to represent a least effect scenario, where any planted mitigation measures can be expected to be reasonably effective), taking account of the completed project and the traffic using it.
3.6 In assessing the effects of the project specific details and their potential impact should be considered, such as;
- The relationship of the project to the existing ground levels and contours (including mounds, bunds, cuttings, false cuttings). For instance, a new road may be accommodated fairly easily within an undulating landscape, but more extensive earthworks may be required than would otherwise be the case in a flat landscape, where extensive cutting and embankment slopes could create adverse effects.
- The relationship of the scale, extent and materials of the proposed project to those in the existing landscape, including side roads, junctions, structures, footpaths, cycleways and ancillary items such as safety barriers, drainage gulleys and kerb details.
- The impact of traffic, including the proportion or frequency of high sided vehicles, and of vehicle headlights at night.
- The height, scale, form (and lighting) of any gantries and road signs, together with other operational elements associated with the project such as service areas, laybys, treatment lagoons, noise barriers etc.
- Temporary construction elements associated with the project such as extraction areas, borrow pits and site compounds etc.
- Lighting, both as a permanent visual feature during the day and as a potentially intrusive element at night. Day time effects could arise from intrusion on the skyline, colour contrast with the background and the equipment itself (i.e. lighting arrangement, column height and shape, brackets and luminaire type). Night time effects could arise from the visibility of the new light sources, sky glow, glare, spillage, light reflection from the road surface and the illumination of moving traffic. Incremental and cumulative effects on dark skies should be considered. Use of isolux contours in dark landscapes may assist the assessment procedure, but note that effects can extend far beyond the area where changes in lux levels are significant; a receptor does not need to be directly illuminated in order to experience adverse effects. In remote/rural landscapes it may be appropriate to question the necessity of lighting and explore alternative safety solutions.
3.7 Computer visualisation techniques such as photomontages or 3 dimensional animations can assist with the assessment, by generating images of the landscape with the proposed project and its traffic in place, and these can also be useful for consultation. However they are not a substitute for informed judgement.


## Assessing Magnitude of Impact

3.8 Based on consideration of the project, the magnitude of impact (which could be either adverse or beneficial) should be estimated. Depending on the complexity of the project, this may need to be broken down into different sections depending on the nature and value of the different character areas affected. Indicative criteria are provided for guidance in table 1. These are not prescriptive and in making judgements the landscape professional needs to be able to demonstrate to others a consistent and justifiable argument.

Table 1 Magnitude and Nature of Impact and Typical Descriptors

| Magnitude of Impact | Typical Criteria Descriptors |
| :--- | :--- |
| Major Adverse | Total loss or large scale damage to existing character or distinctive <br> features and elements, and/or the addition of new but uncharacteristic <br> conspicuous features and elements. |
| Moderate Adverse | Partial loss or noticeable damage to existing character or distinctive <br> features and elements, and/or the addition of new but uncharacteristic <br> noticeable features and elements. |
| Minor Adverse | Slight loss or damage to existing character or features and elements, <br> and/or the addition of new but uncharacteristic features and elements. |
| Negligible Adverse | Barely noticeable loss or damage to existing character or features and <br> elements, and/or the addition of new but uncharacteristic features and <br> elements. |
| No Change | No noticeable loss, damage or alteration to character or features or <br> elements. |
| Negligible Beneficial | Barely noticeable improvement of character by the restoration of <br> existing features and elements, and/orthe removal of uncharacteristic <br> features and elements, or by the addition of new characteristic <br> elements. |
| Minor Beneficial | Slight improvement of character by the restoration of existing features <br> and elements, and/or the removal of uncharacteristic features and <br> elements, or by the addition of new characteristic elements. |
| Moderate Beneficial | Partial or noticeable improvement of character by the restoration of <br> existing features and elements, and/or the removal of uncharacteristic <br> and noticeable features and elements, or by the addition of new <br> characteristic features. |
| Major Beneficial | Large scale improvement of character by the restoration of features <br> and elements, and/or the removal of uncharacteristic and conspicuous <br> features and elements, or by the addition of new distinctive features. |

3.9 The outputs from the landscape character assessment (i.e. landscape characteristics, their condition and value) should be considered to assess their sensitivity to changes arising from the project;; 'The determination of the sensitivity of the landscape resource is based upon an evaluation of each key element or characteristic of the landscape likely to be affected. The evaluation will reflect such factors as its quality, value, contribution to landscape character, and the degree to which the particular element or characteristic can be replaced or substituted.' (GLVIA para. 7.17)

Landscape sensitivity will depend on the character of the receiving landscape, the nature of the proposed project and the type of change. Indicative criteria are provided for guidance in table 2. As with the determination of magnitude of impact, these are not prescriptive and in making judgements the landscape professional needs to be able to demonstrate to others a consistent and justifiable argument.

Table 2 Landscape Sensitivity and Typical Examples

| Sensitivity | Typical Descriptors and Examples |
| :---: | :---: |
| High | Landscapes which by nature of their character would be unable to accommodate change of the type proposed. Typically these would be; <br> - Of high quality with distinctive elements and features making a positive contribution to character and sense of place. <br> - Likely to be designated, but the aspects which underpin such value may also be present outside designated areas, especially at the local scale. <br> - Areas of special recognised value through use, perception or historic and cultural associations. <br> - Likely to contain features and elements that are rare and could not be replaced. |
| Moderate | Landscapes which by nature of their character would be able to partly accommodate change of the type proposed. Typically these would be; <br> - Comprised of commonplace elements and features creating generally unremarkable character but with some sense of place. <br> - locally designated, or their value may be expressed through non-statutory local publications. <br> - Containing some features of value through use, perception or historic and cultural associations. <br> - Likely to contain some features and elements that could not be replaced. |
| Low | Landscapes which by nature of their character would be able to accommodate change of the type proposed. Typically these would be; <br> - Comprised of some features and elements that are discordant, derelict or in decline, resulting in indistinct character with little or no sense of place. <br> - Not designated. <br> - Containing few, if any, features of value through use, perception or historic and cultural associations. <br> - Likely to contain few, if any, features and elements that could not be replaced. |

3.11 The evaluation of the significance of the landscape effects of the project is derived by assessing the sensitivity of the landscape against the magnitude of impact (bearing in mind the effectiveness of the mitigation measures), as shown in the matrix in table 3.
3.12 It should be noted that the categories in table 3 can be either beneficial or adverse, and that in some circumstances the addition of new features (e.g. 'gateway features' such as art work or a distinctive bridge design) will enhance the landscape, resulting in a significant beneficial effect
3.13 Typical descriptors of the significance of effect categories in the matrix are provided in Table 4. These are not prescriptive and in making judgements the landscape professional needs to be able to demonstrate to others a consistent and justifiable argument. This is particularly important where a choice of categories is given in the matrix (e.g. where a landscape of high sensitivity experiences a moderate magnitude of impact, justification for the assessment of either a moderate or large degree of significance should be given).

Table 3 Significance of Effect Categories


Table 4 Typical Descriptors of Significance of Effect Categories

|  | ificance Category | Typical Descriptors Of Effect |
| :---: | :---: | :---: |
|  | Very Large Beneficial (Positive) Effect | The project would: <br> - Greatly enhance the character (including quality and value) of the landscape <br> - Create an iconic high quality feature and/or series of elements. <br> - Enable a sense of place to be created or greatly enhanced. |
| 2 | Large Beneficial (Positive) Effect | The project would: <br> - Enhance the character (including quality and value) of the landscape. <br> - Enable the restoration of characteristic features and elements lost as a result of changes from inappropriate management or development. <br> - Enable a sense of place to be enhanced. |
| 3 | Moderate Beneficial (Positive) Effect | The project would: <br> - Improve the character (including quality and value) of the landscape. <br> - Enable the restoration of characteristic features and elements partially lost or diminished as a result of changes from inappropriate management or development. <br> - Enable a sense of place to be restored. |
| 4 | Slight Beneficial (Positive) Effect | The project would: <br> - Complement the character (including quality and value) of the landscape. <br> - Maintain or enhance characteristic features and elements. <br> - Enable some sense of place to be restored. |
| 5 | Neutral Effect | The project would: <br> - Maintain the character (including quality and value) of the landscape. <br> - Blend in with characteristic features and elements. <br> - Enable a sense of place to be retained. |
| 6 | Slight Adverse (Negative) Effect | The project would: <br> - Not quite fit the character (including quality and value) of the landscape. <br> - Be at variance with characteristic features and elements. <br> - Detract from a sense of place. |
| 7 | Moderate Adverse (Negative) Effect | The project would: <br> - Conflict with the character (including quality and value) of the landscape. <br> Have an adverse impact on characteristic features or elements. <br> Diminish a sense of place |
| 8 | Large Adverse (Negative) Effect | The project would: <br> - Be at considerable variance with the character (including quality and value) of the landscape. <br> Degrade or diminish the integrity of a range of characteristic features and elements. <br> Damage a sense of place. |
|  | Very Large | he project would: $\qquad$ <br> - Be at complete variance with the character (including quality and value) of the landscape. <br> Cause the integrity of characteristic features and elements to be lost. <br> - Cause a sense of place to be lost. |

## 4 Reporting

4.1 The differences in levels of reporting for Scoping, Simple and Detailed Landscape Assessments are outlined as follows;

## Scoping Assessment

4.2 Information gathering for the Scoping Exercise should consist of a combination of preliminary site surveys and desk-based studies of readily available information, such as that held by the statutory environmental bodies, local authorities and National Park Authorities. It is aimed at identifying major constraints that may be affected by the project or may require further study, and whether or not the project has the potential to generate any significant landscape effects.
4.3 If the Scoping Exercise shows that further work is required, the selection of Simple or Detailed Assessment will depend on consideration of:

- the nature of the project (e.g. a maintenance project in an undesignated area is unlikely to need detailed landscape assessment);
- the character (including quality and value) of the receiving landscape;
- the reliability of the baseline data that is available;
- the findings of the Scoping Exercise;
- the level of detail of any previous assessments undertaken for the project, and whether the data were collected recently.


## Simple Assessment

4.4 Landscape Baseline;


An appreciation of landscape character, comprising a review of any published character assessments, local perceptions and/or designations, together with the results of a site visit to gain an appreciation of the extent to which the landscape around the project is representative of the character described. Where no such assessments exist, or where the landscape around the project is not representative or has other distinctive characteristics, a general description of the landscape character around the project should be made, noting any particular features and/or elements that help to define (or detract from) landscape character. This appreciation should note the condition of the Jandscape and make an informed judgement as to its value.
4.5 Magnitude and Type of Impact;

The degree of change which the project would cause should be assessed, noting in particular the scale, duration and nature of potential changes on landscape character. A note should also be made of any mitigation measures that could reduce adverse effects.
An assessment of the effects the project will have on the landscape, based on its sensitivity in relation to the project. This should state whether or not the project is likely to give rise to significant landscape effects and whether these are large or small, beneficial or adverse, temporary or permanent. If significant effects are anticipated or the outcome of the Simple Assessment is inconclusive (e.g. due to inadequate or incomplete data, requiring the need for more detailed surveys), then a recommendation for a Detailed Assessment should be made, stating the reasons for this decision and an outline of the further work that would be anticipated.

## Detailed Assessment

4.7 Landscape Baseline;

- Classification of the landscape into character areas, including a description of the key characteristics of each character area (including key negative features where appropriate) and an appraisal of their condition and value, together with a map showing the boundaries of different areas.
- Acknowledgement of key elements, features or characteristics that are important or valued within the local context or in determining the local character (e.g. a green lane used as a footpath), and which provide a sense of place.
- A photographic record. These should be numbered and cross-referenced to accurately plotted locations on an OS map of appropriate scale, which should also show the angle of view. The photographic survey should record important features and elements, variations in character and provide representative images of each character area.


### 4.8 Magnitude and Type of Impact;



- A description should be provided of the project and its impact. This should include consideration of the total project (e.g. how well a new road alignment would fit the existing topography) as well as specific features of the design (e.g. the addition of new signage as part of an urban project). It should also include potential changes in landscape character away from the project (e.g. benefits due to the removal of traffic from a new bypass).
- A description of the mitigation measures proposed to avoid, reduce or remedy any impacts. These measures are an integral part of the project, and the effects assessed will therefore be the net effects arising from the project complete with mitigation.
4.9 Significance of Effect;

A description of the landscape effects and their significance. This should be based on the sensitivity of the landscape in relation to the proposed project. It should include the effect of individual aspects of the proposed project as well as their combined effect (e.g. the road, plus its traffic, lighting and signage), and effects on the whole landscape (e.g. changes in character) as well as specific features and elements (e.g. loss of woodland or buildings).

## Annex 2 Assessment of Visual Effects

## Contents

## 1 Introduction

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Determining the Zone of Visual Influence (ZVI)
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## 1 Introduction

1.1 This annex outlines the methodology to be used for the assessment of visual effects, and covers Scoping, Simple and Detailed Assessments. Differences between the three assessment levels are reflected by;

- The potential for the project to give rise to significant visual effects (i.e. if no significant effects are predicted then a Detailed Assessment will not be required);
- the degree to which the project design is defined (i.e. if details are unavailable then only a Scoping or Simple Assessment will be possible), and;
- the level of survey data that is available (i.e. a greater level of survey work would need to be completed for a Detailed Assessment).
1.2 Guidance on the difference between the reporting outputs from the methodology for each assessment level is provided in section 4.
1.3 The stages in the assessment are to:
- Determine the extent of visibility of the proposals.
- Collect and collate information on the visual context of the project.
- Identify receptors and evaluate their sensitivity.
- Describe the degree of visual change caused by the proposals.
- Identify and develop mitigation measures as a component of the iterative design process to avoid, reduce and where possible remedy adverse effects.
- Assess the significance of the resultant visual effects.
1.4 The process is shown in Figure 1


Figure 1 Summary of Methodology for Assessing Visual Effects

## 2 Identifying the Extent of Visibility

2.1 For visual effects, the study area will extend to the whole of the area from which the project could be visible. For some projects this area will be contained by vegetation, topography or building frontages. Conversely, it is possible that other areas may have long distance views of the proposed development, perhaps from one or two upper floor windows of distant properties where the area of the development is overlooked by distant hills.

## Determining the Zone of Visual Influence (ZVI)

2.2 The ZVI shows the area of land from which there could be a view of any part of the proposed project (e.g. bridges, roads, gantries, lighting and traffic). The assessment for the ZVI should be undertaken by an experienced landscape professional with detailed knowledge of the project proposals. The ZVI serves as a working tool, and can also be presented both to the public and in reports of the assessment as it provides an immediate and easily understood indication of the area within which potential visual effects may be experienced. The ZVI can be determined by computer analysis or by site assessment, or a combination of the two, but must always be checked on site to ensure accuracy and applicability.
2.3 It is important to note that the ZVI is by its nature approximate only. In some cases it may be necessary to prepare ZVI's at different scales to cover both long distance views and detail close to the project. Conversely, some areas within the ZVI may not have views of the proposed development due to local screening (landform or vegetation), and any such areas should be shown graphically on the ZVI map.
2.4 ZVI's should be prepared to illustrate the full range of visibility that could potentially result from the project. Typically this would involve the scenario of winter in the year of opening (i.e. to represent a maximum effect situation, before any planted mitigation can take effect), and summer in the fifteenth year after opening (i.e. to represent a least effect scenario, when any planted mitigation can be expected to be reasonably effective). For projects of an extensive nature or long duration it may also be necessary to prepare Z ZVI for the construction phase of the project.
2.5 For projects where the new work is an incremental change to an existing road (e.g. upgrading an existing junction, or widening an existing carriageway) it may also be helpful to prepare separate ZVI 's to illustrate the existing situation and the proposed new works in order to facilitate determination of the degree of change resulting from the project. It should also be noted that for more extensive projects, different ZVI's may be required relevant to different sections or parts of the project.
2.6 The ZVI can be progressively refined throughout the course of a project, with increasing definition as the project proceeds and the design becomes more detailed. In addition, if the ZVI has been prepared some time previously, or if the project changes, it should be checked to ensure that it is still accurate.

## Desk Study

2.7 The extent of the project should be marked on a contoured Ordnance Survey base, noting areas where (if applicable) it would be in cutting and where traffic would therefore be screened (though note the possibility of views from higher ground, and that some components such as lighting columns or gantries could still be visible above the top of the cutting). Where there is an appreciable slope across the line of the project it maybe necessary to consider the two sides independently. It may also be helpful to mark levels on the map to indicate the maximum height of the components of the project, typically the proposed carriageway height plus (say) 4.5 m
for traffic and (say) 10m for lighting columns. Any proposed mounding or environmental barriers should also be shown, where their locations are known.
2.8 A study of contoured Ordnance Survey mapping and aerial photographs should also be made to identify potential screening features (generally tree lines, woodland blocks or urban areas/large individual buildings) for later verification on site. In urban areas, the first line of buildings usually forms the principal screen to visibility and views from beyond this are often partial or fragmented. This information should enable a preliminary assessment of the extent of the ZVI to be made before/going on site. Potential visual receptors such as residential properties, Public Rights of Way (and noting whether they are National Trails, footpaths, bridleways etc.) and recreation or amenity areas should also be noted for more detailed assessment on site.
2.9 Consultation with key stakeholders suich as Statutory Environmental Bodies, National Park Authorities, Local Planning Authorities and local community groups etc. should be undertaken at this stage to agree key views and representative viewing points to be used in the assessment.

## Site Survey


2.10 A site visit is always required to verify and expand upon the results of the desk study. This should include assessing the nature of views and number/type of receptors looking towards the project, as well as looking out from the project location to determine which areas and receptors are visible and to be able to make an informed judgement about the degree of change in the view that would be caused by the project (e.g. some residential properties may only have a view from upper floor windows). It should be noted that the components of the proposed project are likely to be higher than eye level height (which is typically 1.5 m ), and that the proposed project may be higher or lower than the surrounding landform.
2.11 Where access to the land on which the project will take place is not possible, estimates of the nature of the view and number of receptors affected must be made from the nearest areas with public access, though where necessary, powers of entry may be available. Landmarks or other features within or close to the site should be noted as reference points for use when looking back towards the site from the surrounding landscape. The site assessment must also note the location, height and screening value of any visually significant vegetation and whether or not it forms a complete or partial screen, also noting how this may be affected in winter.
2.12 Viewpoints should be accurately recorded on a plan noting the direction of view. In addition, all Public Rights of Way within the ZVI should be walked to assess potential visibility, making an allowance for the additional viewpoint height of horse riders on bridleways.
2.13 Record photographs should be taken from each viewpoint using a lens combination that appropriately represents the landscape as seen by a person at that location, although additional photographs with a telephoto lens may also be useful to highlight detail. (It should be noted that, currently, the Landscape Institute favours the use of a fixed 50 mm focal distance SLR lens or its digital equivalent for most circumstances: see LI Advice Note 01/09 for further details). A note must be kept of the dates of the photographs. Site notes and sketches may also be useful to supplement photographs for later analysis.
2.14 Further analysis may be required in the form of cross sections and/or computer simulations, to establish if (for example) a new road and its lighting would be visible above an intervening ridge. If the presence of a new feature in a view is of particular importance and is difficult to assess on site or by cross section/computer analysis, then physical, on site measures including the positioning of raised access platforms or balloons at the proposed height, or the use of elevated cameras to look out from the proposed height, may be appropriate.

## Visual Receptors and their Sensitivity

2.15 An important part of the assessment is to determine the sensitivity of potential visual receptors (i.e. viewers) within the ZVI. Sensitivity depends on the location, context and expectations of the viewer (e.g. the occupier of a residential property with open views would be highly sensitive, whereas an office worker within an urban context would be less so). The identification of various categories of visual receptor (viewer) and the assumed visual sensitivity of each forms part of the visual baseline against which the change in the view brought about by the proposed project can be assessed.
2.16 Visual receptors should be categorised by their sensitivity and witlinclude people in their homes, users of Public Rights of Way (PROW) and other areas of open space or recreational landscapes, people at work and people travelling along roads or railway lines. Indicative levels and examples are provided in table1, which are not prescriptive but intended for guidance.

Table 1 Visual Sensitivity and Typical Descriptors


## 3 Identification of Impacts and Assessment of the Significance of Visual Effects

3.1 The assessment of visual effects should be undertaken for the following scenarios:

- During the construction period, assuming a maximum visibility or maximum perceived change situation (i.e. when construction activity is at its peak for any given view), and noting how long that period would be likely to last;
- A winter's day in the year that the project would open to traffic or be fully operational (i.e. with noise/visual screens and mounds in place but before any planted mitigation has begun to take effect). This is usually a reflection of the operationally non-fully mitigated/maximum visibility scenario;
- A summer's day in the fifteenth year after opening (i.e. when the planted mitigation measures can be assumed to be sûbstantially effective). This is usually a reflection of the near fully mitigated scenario under normal conditions. (Note however, that planting may be subject to adverse local conditions such as exposure or high altitude, which may require a longer assessment date to be determined).


## Recording Visual Effects

3.2 The assessment should be recorded by means of the ZVI map, together with a Visual Effects Drawing (VED), and accompanying Visual Effects Schedule (VES).
3.3 The ZVI map should be presented on a contoured OS base, showing;

- the line of the new road or other project;
- significant screening features;
- the limit of the ZVI. It may be appropriate, especially in cases where the boundary to the ZVI is a zone of transition rather than a precise line (i.e. in a very flat landscape), to illustrate this graphically by means of a graduated tone or by different line thicknesses;
- A note to the effect that the boundary shown is not always precise and is an indication only of the area within which the most significant visual effects may be expected;
- the principal representative viewpoints. These need not be exhaustive, but could cover the principal types and range of views of the proposed project which would be possible;
- the full extent of the visibility of the project (noting that this may require several ZVI's as described in paragraph 2.4).
3.4 The VED/should be presented on a contoured OS base, showing;
- Buildings and important outdoor locations which would be affected, such as viewpoints, roads and Public Rights Of Way (PROWs), with lengths affected/unaffected highlighted in the case of footpaths;
- Each location cross referenced to the VES by means of a unique number, which should be colour coded to show graphically the difference across the scheme for construction, winter year 1 and summer year 15 scenarios;
- Major visual barriers such as ridge lines, tree belts, woodlands and intervening buildings or structures;
- For a proposed road, it should note any sections which will be in cuttings of, say, 4.5 m or greater depth (i.e. which would effectively screen the tallest vehicles);
- The direction of the principal view (or arc of view if appropriate) from individual locations.
3.5 Separate schedules should be prepared for different receptor groups (e.g. residential properties, community facilities, commercial properties, rights of way etc.). Properties may also be grouped together by location, or where their impacts are the same, to simplify the schedule where necessary. The VES should record the following information for each location assessed;
- Reference number from the VED.
- Location, by address and property name/number.
- Number of properties at that location, where grouped.
- Type of property - industrial, commercial, residential etc., and if residential, further detail such as number of storeys, size of vindows and property elevation etc.
- For PROWs and roads, length of route affected; for other recreational locations such as common land or open space, the approximate area affected.
- Distance of the location from the nearest visible portion of the project (to the centre line for roads), but also noting whether other portions of the project may be visible. For a large receptor, the distance could be to the portion most affected, and this shoulld be noted in the VES. For PROWs, a range of distance may be appropriate.
- Description of existing view(s) towards location of project;
- Description of change in view(s) resulting from project;
- Level of visual effects and whether beneficial or adverse, for each of the scenarios outlined above (i.e. construction, winter year 1 and summer year 15);
- Any explanatory notes. These would include the nature or angle of the view (e.g. oblique), and whether or not the views are screened or filtered by intervening vegetation, or marred by the presence of existing intrusive features (including roads and traffic). The number and location (e.g. ground floor, first floor etc) of windows overlooking the project should be noted (this may be impractical for projects overlooked by large numbers of properties, but is likely to be critical where a few properties/windows only are involved), as should the influence of proposed cuttings, embankments or mitigation measures. Note should also be made as to whether the view would be of the whole of the proposed project or just a part (i.e. lighting, gantries or a small component of it)
3.6 The visual assessment should note the date(s) and weather conditions on which the site survey was carried out. Depending on the complexity of the project, additional material may be necessary to further illustrate existing and proposed views, such as cross sections, photographs, artist's impressions or computer generated visualisations.


## Mitigation

The assessment of the visual effects of the project should take account of any mitigation measures proposed. These may be solid barriers, such as fences or earth mounds, which would be effective from the first day of opening, or screen planting which would take a number of years to become effective.
3.8 It should be noted that although such measures could effectively screen views of traffic from the receptor, the mitigation measures themselves could cause visual intrusion, thus, for example, a large mound designed to screen traffic from properties could itself block a currently open view with subsequent adverse visual effects.

## Assessing Magnitude of Impact

3.9 It is important to recognise that the assessment records the degree of change in the composition of the view, from that which would exist if the project were not completed to that which would result as a consequence of the project. In determining the magnitude of impact, or degree of change, the following should be considered;

- Scale of change - a large scale project such as a new motorway would generate a greater magnitude of change than would a small scale change such as a junction improvement. This change can be in the form of the addition of new features into the view or the removal of existing features (such as trees, woodland or buildings). It should also be noted that a relatively small scale project may constitute a major change within a very restricted, enclosed view.
- Nature of change - the extent to which a given change is out of character with the existing view can influence the effects which it would produce. For example, it is likely that the introduction of a new road into a view already containing other busy roads would be more in keeping with the existing character than the introduction of the same road into a presently rural view with few signs of development.
- Duration of change - it is important to consider if the change is permanent or temporary, and to what extent it would reduce over time as mitigation planting matures. Change should be categorised as being short term (i.e. up to 1 year or during construction if the construction period exceeds one year), short/medium term (i.e. 1 to 5 years, during which time new planting will have little significant effect in most cases), medium/long term ( 5 to 15 years, when planted mitigation will begin to take increasing effect) or long term (i.e. Jasting beyond 15 years).
- Distance - the magnitude of any change would generally decrease with distance from its source, until a point is reached where there is no discernible change.
- Screening - intervening features may block the view completely (in which case there would be no change), or there may be a partial screen, in which case the magnitude of change would decrease. For instance, intervening features (e.g. other structures or vegetation) may filter a view, which in the case of vegetation may also change with the seasons, and this must be taken into account where appropriate.
- The direction and focus of the view - if the change occurs in the part of the landscape which is the principal area of existing visual interest, the effects are likely to be perceived to be greater than if the proposed change occurs away from the main area of visual interest. This is especially relevant in the context of views from within houses (which are effectively framed by their windows), or from gardens (where views are often restricted by vegetation), and from promoted or locally valued viewpoints.
- Removal of past mitigation or existing vegetation - for road widening or improvement projects, consideration must be given to the effects of any removal of planting or other mitigation provided as part of an earlier project or existing vegetation. Removal of such mitigation may increase effects from the original road, and a check should be made as to whether any commitments were made in a past environmental statement or at a Public Inquiry as to the provision and maintenance of that mitigation.
- Whether the receptor is static or moving - if the receptor is static (for example an occupier of a residential property) then the view will be constant and greater emphasis should be placed upon it. If however the receptor is moving (for example along a Public Right of Way) then the view will be constantly changing, and the proposed project may only be visible for part of the time. Some consideration should therefore be given to how the change in the view affects the overall experience of walking along a given Right of Way (or for a long distance route, a discrete section of the Right of Way).
- Numbers and types of receptors potentially affected at a viewpoint (e.g. a popular viewpoint, busy trunk road, little-used path or minor lane).
3.10 The magnitude of impact, or degree of change, should be assessed using the indicative criteria in table 2. These are not prescriptive and are intended for guidance, and in making judgements the landscape professional needs to be able to demonstrate to others a consistent, structured, transparent and justifiable approach.
Table 2 Magnitude of Impact and Typical Descriptors

| Magnitude of impact | Typical criteria descriptors |
| :--- | :--- |
| Major | The project, or a part of it, would become the dominant feature or focal <br> point of the view. |
| Moderate | The project, or a part of it, would form a noticeable feature or element of <br> the view which is readily apparent to the receptor. |
| Minor | The project, or a part of it, would be perceptible but not alter the overall <br> balance of features and elements that comprise the existing view. |
| Negligible | Only a very small part of the project would be discernable, or it is at such a <br> distance that it would form a barely noticeable feature or element of the <br> view. |
| No change | No part of the project, or work or activity associated with it, is discernible. |

## Assessing Significance of Visual Effects

3.11 The evaluation of the significance of the visual effects of the project is derived by assessing the sensitivity of the receptor (table 1) against the degree of change in the view resulting from the project (table 2). These aspects can be combined to form a significance matrix as shown in Table 3. Typical descriptors of the significance levels in the matrix are provided in Table 4. As with the determination of receptor sensitivity and degree of change, these are not prescriptive and are intended for guidance.
3.12 In general terms a major magnitude of change on a highly sensitive receptor will produce an effect of high significance, and a minor magnitude of change on a less sensitive receptor will produce an effect of low or negligible significance. Major changes for less sensitive receptors and minor changes for more sensitive receptors could also produce significant levels of effect.
3.13 It should be noted however that it is not possible to set out a precise formula for the determination of the significance of effect as every case is different, and it is therefore important that the significance level determined is supported by reasoned justification in the form of a written explanation (supported by photographs and other illustrations as appropriate), so that the basis for the assessment is clear. This is particularly important where a choice of categories is given in the matrix (e.g. where a highly sensitive receptor experiences a moderate magnitude of impact, justification for the assessment of either a moderate or large degree of significance should be given).

Table 3 Significance of Effect Categories


Table 4 Typical Descriptors of the Significance of Effect Categories

| Significance | Typical Descriptors of Effect |
| :--- | :--- |
| Very large Beneficial | The project would create an iconic new feature that would greatly enhance the view. |
| Large Beneficial | The project would lead to a major improvement in a view from a highly sensitive <br> receptor. |
| Moderate Beneficial | The proposals would cause obvious improvement to a view from a moderately <br> sensitive receptor, or perceptible improvement to a view from a more sensitive <br> receptor |
| Slight Beneficial | The project would cause limited improvement to a view from a receptor of medium <br> sensitivity, or would cause greater improvement to a view from a receptor of low <br> sensitivity. |
| Neutral | No perceptible change in the view. |
| Slight Adverse | The project would cause limited deterioration to a view from a receptor of medium <br> sensitivity, or cause greater deterioration to a view from a receptor of low sensitivity. |
| Moderate Adverse | The project would cause obvious deterioration to a view from a moderately sensitive <br> receptor, or perceptible damage to a view from a more sensitive receptor. |
| Large Adverse | The project would cause major deterioration to a view from a highly sensitive receptor, <br> and would constitute a major discordant element in the view. |
| The project would cause the loss of views from a highly sensitive receptor, and would <br> constitute a dominant discordant feature in the view. |  |

## 4 Reporting

4.1 The differences in levels of reporting for Scoping, Simple and Detailed visual assessments are outlined as follows;

## Scoping Assessment

4.2 Information gathering for the Scoping exercise should consist of a combination of preliminary site surveys and desk-based studies of readily available information, such as OS mapping, to determine potential visual receptors. It is aimed at identifying major constraints that may be affected by the project or may require further study, and whether or not the project has the potential to generate any significant visual effects.
4.3 If the Scoping Exercise shows that further work is required, the selection of Simple or Detailed Assessment will depend on consideration of:

- the nature of the project (e.g. a maintenance project on a heavily trafficked trunk road is unlikely to need detailed visual assessment);
- the number and sensitivity of visual receptors (i.e. if a large number of residential properties are likely to be affected then detailed assessment would be required);
- the findings of the Scoping Exercise;
- the findings of any previous assessments undertaken for the project, and whether the data were collected recently.


## Simple Assessment

4.4 Visual Baseline;


An initial assessment of the visibility of the project within the landscape should be made, determined from mapping and by observation of the area of the project from public vantage points. Settlements and prominent viewpoints should be noted, together with an initial appreciation of their visual amenity and sensitivity to change. Detailed ZVI's are not required for a Simple Assessment, but some illustrative material will be useful to explain the visual context (e.g. location of key settlements and viewpoints in relation to the project).
4.5 Magnitude and Type of Impact;

The scale, type and duration of change which the project would potentially bring to existing views and visual receptors should be assessed in outline terms and recorded.
4.6 Significance of Effect;

An assessment of the effects of the project on visual receptors in broad terms (a Visual Effects Drawing and Visual Effects Schedule as defined in paragraphs 3.4 and 3.5 will not be required for a Simple Assessment) should be made, stating if the project is likely to give rise to significant visual effects and whether these are beneficial or adverse, temporary or permanent. This assessment should include an estimate of the type, approximate number and location of receptors that are likely to experience visual effects.

## Detailed Assessment

### 4.7 Visual Baseline;

- Maps to show the potential Zone of Visual Influence (ZVI), determined from mapping and/or computer generation and by observation, of the area of the project from public vantage points, as defined in paragraph 3.3 Settlements, groups of buildings, individual properties and other visual receptors within the ZVI (such as users of footpaths) should be noted, together with an assessment of their visual amenity and sensitivity to change. The importance oflocal landmarks and viewpoints, and the assessment of the extent and direction of views from properties should be recorded. The assessment should also take into consideration any committed development (i.e. developments with planning consent and/or development allocations in adopted local plans).
- A description of the potential visual receptors (including key viewers) that would be affected by the proposed project, the extent and quality (amenity) of their existing views and an assessment of their sensitivity.
- A photographic record showing views from key and representative visual receptors. These should be numbered and cross-referenced to accurately plotted locations on an OS map of appropriate scale, which should also show the angle of view.
4.8 Magnitude and type of Impact;
- A description of the likely changes that will result in the view from key receptors (e.g. principle viewpoints and properties) as a result of the project.
- A description of the mitigation measures proposed to avoid, reduce or possibly remedy any impacts. These measures are an integral part of the project, and the effects assessed will therefore be the net effects arising from the project complete with mitigation.


### 4.9 Significance of Effect;

- A description of the visual effects of the proposed project for each group of visual receptors and their significance, including key yiews and users of footpaths, transport routes etc.
- An OS based plan showing the visual effects of the proposed project on residential properties and other important receptors and viewpoints, illustrated to show the location of the properties affected and the degree to which they may be affected. This would comprise a Visual Effects Drawing and a Visual Effects Schedule, as defined in paragraphs 3.4 and 3.5 respectively.


## ANNEX 3 GLOSSARY

(Definitions are taken from LCA and GLVIA)
Landscape Character A distinct, recognisable and consistent pattern of elements in the landscape that makes one landscape different from another, rather than better or worse.

Landscape Characteristics Elements, or combinations of elements, which make a particular contribution to distinctive character.

Landscape Elements Individual components which make up the landscape, such as trees and hedges.

Landscape Features Particularly prominent Or eye-catching elements, like tree clumps, church towers, or wooded skylines.

Landscape Quality (or Condition) is based on judgements about the physical state of the landscape, and about its intactness, from visual, functional, and ecological perspectives. It also reflects the state of repair of individual features and elements which make up the character in any one place.

Landscape Resource The combination of elements that contribute to landscape context, character, and value.

Landscape Sensitivity The extent to which a landscape can accept change of a particular type and scale without unacceptable adverse effects on its character.

Landscape Value The relative value or importance attached to a landscape (often as a basis for designation or recognition), which expresses national or local consensus, because of its quality, special qualities including perceptual aspects such as scenic beauty, tranquillity or wildness, cultural associations or other conservation issues.

Receptor Physical landscape resource, special interest or viewer group that will experience an effect.

Sense of Place (genius loci) The essential character and spirit of an area: genius loci literally means 'spirit of the place'.

Visual Amenity The value of a particular area or view in terms of what is seen.
Zone of Visual Influence Area within which a proposed development may have an influence or effect on visual amenity.

## ANNEX 4 Bibliography

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The Hedgerow Regulations 1997.

## ANNEX 5 SPECIFIC REQUIREMENTS FOR SCOTLAND, WALES AND NORTHERN IRELAND (To be added)

IANs are only applicable in England


## ANNEX 6: IAN 135/10 LANDSCAPE AND VISUAL EFFECTS ASSESSMENT IN ENGLISH DBFO SCHEMES

When used on English DBFO Schemes, this IAN is to be amended as follows:

| Para No. | Description |
| :---: | :--- |
| All <br> occurrences | Delete "Overseeing Organisation" and insert "Department" |
| 1.3 | Delete the heading and paragraphs and insert "Not used" |
| 1.6 | Delete "Design Organisations" and insert"Designers" |




