

# Good practice: The standards and ethics award

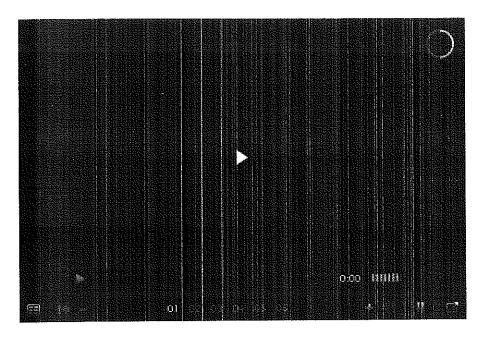
Six local authorities were shortlisted for the LGC standards and ethics award, supported by the Standards Board for England.

All the shortlisted authorities had a dynamic approach to improving and promoting ethical standards among members and helping to boost public confidence in local democracy.

This section contains information on the ideas and innovations that have worked well for the shortlist, along with some ideas from other authorities. We hope that some of these examples will also be useful in helping you to achieve or maintain high standards in your authorities.

#### What works for them?

Take a look at these interviews with the six shortlisted authorities



In order to view the video clip above you have to have the Adobe Flash player. Click here to download the latest flash player.

#### Examples and ideas

#### **Engaging leadership**

Strong leaders that engage with council staff and the local government community are an asset to local

authorities. Here are some examples of how local authority staff and standards committee members have proactively championed high ethical standards. **More info**.

#### Training and skills for members

All six shortlisted authorities have good, regular training programmes for councillors and standard committee members. Some councils have even made Code of Conduct training compulsory for district councillors. The six shortlisted councils have a clear work programme for their standards committee - going beyond complaints and probity to cover a wider remit. **More info.** 

### Communicating with staff and stakeholders

Research shows that local authority staff who feel well informed and have a say are more likely to feel involved in and positive about their organisation (IDeA). It is important that councils give a clear message about their stance on standards and what it expects from others. **More info**.

#### Increasing confidence in democracy

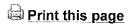
Good communication is essential to let the public know what you do and how you do it. Research shows that the top performing councils are effective at informing the public about the services they provide. Good examples of this have been using the recruitment of independent members to promote the standards committees' role and what they hope to achieve. **More info.** 

### Working in partnerships with other authorities

A commitment to partnerships and joint working allows authorities to share experience, knowledge and resources. Here's some examples of how the six shortlisted authorities have collaborated with different organisations and partners. **More info.** 

### More information on the shortlisted authorities

- Ceredigion County Council
- Leeds City Council
- Lincolnshire County Council
- Newark and Sherwood District Council
- Newcastle City Council
- Rossendale Borough Council





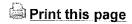
## **Engaging leadership**

- Rossendale Borough Council has an engaged chief executive and council leader who champion standards at the authority. They have also worked closely with the Audit Commission to develop an experience based learning approach to ethical conduct.
- Newark and Sherwood District Council has a very proactive monitoring officer, Kirsty Cole, who
  regularly speaks at different events and has a national profile in the standards committee. This
  has enabled Kirsty to share her experience and knowledge at events both locally, regionally and
  nationally, in training IDeA peers on Code of Conduct and ethical governance issues and
  undertaking work as an IDeA peer undertaking ethical governance reviews.
- Rossendale Borough Council's standards committee monitor current policies and proactively seek to influence and develop new policies, which helps to embed high ethical standards and good governance.
- Leeds City Council's standards committee produce an annual report that is reported to the full council. The standards committee also has a forward work plan, which is kept under review by officers with reference to national developments.
- Rossendale Borough Council has appointed lead officers to act as 'Governance Champions' to
  promote high standards and advise on issues such as contract procedures, their gifts and
  hospitality policy and whistle-blowing.

#### Links

· Local government information unit

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## Training and skills

- Rossendale Borough Council are focused on member development and have worked with the IDeA on a learning programme. All their councillors also have a personal development plan.
- · Some councils ensure their members receive media and presentation skills so they are well equipped to deal with the local media's questions.
- Leeds City Council develop training in formats tailored to their members needs and now provide e-learning courses for councillors to give them extra guidance on the Code of Conduct. Their standards committee review the decisions of the APE and consider any lessons the council could
- Newark and Sherwood District Council worked with the <u>Local Government Training Unit</u> and with qualified mediators to develop a course on mediation, which was attended by over 50 delegates from all over England and Wales.
- Leeds City Council has provided all their parish councillors with access to a new online training system from the IDeA called 'Modern Councillor'.
- As part of Rossendale Borough Council's standards committee's commitment to learning and development; at every meeting members consider a real-life case study of standards issues from another authority to discuss what can be learned from the situation and applied in Rossendale.
- Rossendale Borough Council's councillors serving on Development Control or Licensing Committee must receive training before taking up their position so that they are aware of protocols, policies and regulations governing these meetings.
- Newark and Sherwood District Council has developed a recruitment pack for the appointment of independent members containing a job description, person specification, advertisement and guidance on legislative requirements. A copy of this is referenced on ACSeS' website.

#### Links

 The IDeA run a councillor mentoring programme that aims to provide peer support to councillors and is available for all local authorities.

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# Communicating with staff and stakeholders

- Leeds City Council has developed an internal newsletter called 'Governance matters' which
  promotes ethical and corporate governance issues and details the work of their standards
  committee.
- Leeds City Council has identified good ethical behaviour as part of the organisations overall aspirational culture
- Rossendale Borough Council has their own slogan 'Serious about standards' which helps to engage staff.
- Councils can hold events to engage their members and council officers and keep them up-to-date
  with what the authority is doing to maintain high standards. For example, some councils host clerk
  forums that are regular meetings between council officers and town and parish clerks.
- Lincolnshire County Council produce standards committee annual reports that outline the
  members of the committee, what they do, their work programme and the numbers of complaints
  and policies they've considered.
- Newcastle City Council has used their internal staff information bulletin to draw staff attention to the code of conduct for members and the work of the Committee.
- Some councils agree various ethics statements that are used in publicity and in engagement with the public and other stakeholders.
- Rossendale Borough Council's standards issues are reinforced through the corporate Team Briefing, in their staff newsletter 'Grapevine' and through specific information booklets on whistleblowing and their gifts and hospitality Policy.

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# Increasing confidence in local democracy

- Waveney District Council's standards committee are calling on budding young filmmakers to help them raise awareness of their work. The Waveney Young Filmmaker competition gives young people a great opportunity to showcase their creative talents. The winning film will bring a new perspective to the work of the standards committee giving them a fresh way to promote ethical governance to the local community. Caroline Nixon, Chairman of the standards committee, encourages young filmmakers to get involved: "The Waveney Young Filmmaker Competition offers young people from across Waveney the opportunity to submit their films and have them judged by a panel, including industry professionals. Whether you are a first-time filmmaker or developing your skills, the competition is a fantastic opportunity for budding filmmakers to garner approval for their work and add valuable material to their portfolio. Their original idea will also be shown on the Waveney District Council website". For more information on the competition, visit the Waveney District Council website.
- Newcastle City Council made sure they promoted the changes to the complaints system with a number of
  articles in their <u>residents newsletter</u>. This newsletter also included an article written by the standards
  committee Chair to promote the role of the standards committee.
- Leeds City Council's standards committee has their own communications plan covering members, parishes and the public.
- Newark and Sherwood District Council promotes the role of their standards committee to the local media and in their community newsletter.
- Colchester Borough Council held an '<u>I'm a Councillor get me out of here</u>' event that aimed to get young
  people and councillors talking. This involved a number of schools in the Colchester area.
- The chairman of Ceredigion County Council's standards committee took an active role in highlighting the
  role of the committee, the importance of the Code and the integral role of independent members in a local
  press article.
- Rossendale Borough Council host an annual Local Democracy Week, which aims to help young people
  understand more about their council and local democracy. Primary schools tour the Council Chamber,
  discuss council services and learn about the role of the Mayor and Councillors. Secondary school pupils also
  take part in a 'Question Time' event to pose challenging queries to local councillors and community leaders.
- Rossendale Borough Council has also produced a Standards Bulletin that is published on their website so that local people and partners can be assured of the correct conduct of the council.
- Lincolnshire County Council is one of the few councils providing live webcasts of council meetings with an archive on their website.

#### Links

The Standards Board has developed a <u>Press Toolkit – Guide for Authorities</u> that is a resource to help authorities effectively handle media enquiries about complaints and investigations relating to authority members.

The IDeA has a **Connecting with Communities: communications toolkit**, which is a resource to help councils improve their communication with residents, staff and stakeholders.

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# Working in partnerships with other authorities

- Ceredigion County Council hosted the Welsh Standards Conference with Wales ACSeS Group
  at Aberystwyth University for monitoring officers and standards committee members to share their
  experiences of the new Code. The Council is also developing close links with the University and
  the Monitoring Officer will shortly be providing a talk to law students in the University in relation to
  legal careers in local government.
- Rossendale Borough Council advises Burnley Borough Council on their protocols and work for the standards committee.
- Newark and Sherwood District Council provide best practice, advice and support to other authorities via Nottinghamshire and Lincolnshire standards forums, monitoring officer groups and the organisation of an annual Monitoring Officers' conference.
- Newcastle City Council standards committee has built a proactive relationship with chief whips.
- Newark and Sherwood District Councils monitoring officer has been proactive in leading a
  Nottinghamshire Monitoring Officers Group which meets regularly to discuss ethics and standards
  and to share learning and knowledge. As a result, the Nottinghamshire districts have developed
  common procedures and protocols for dealing with standards complaints.
- Rossendale Borough Council's proposals for a Joint Standards Committee with other councils
  will provide increased capacity and make it easier to avoid any conflicts of interest. This will create
  a stronger support and advisory function which can draw on its collective experience to promote
  greater consistency in procedures and decisions.
- Ceredigion County Council produced a <u>flow chart</u> to assist with training for councillors, which has been distributed to all monitoring officers in Wales.
- Leeds City Council's standards committee maintain a close relationship with the Corporate Governance and Audit committee (CGA) and send them a progress report every six months.
- Newark and Sherwood District Council adopted a deliberate policy to share their learning and best practice not just locally, but regionally and nationally.
- Nottinghamshire Monitoring Officer Group identified a particular problem in respect of the
  understanding of governance issues within parishes and responded to this by developing the
  'Parish Council Toolkit'. All parish councils in Newark and Sherwood District Council have
  received a copy and many have adopted its model procedures. This has been welcomed by the
  Society for Local Council Clerks (SLCC) who is working closely with the group to produce version
  three of the toolkit.

#### Links

For information on the Quality Parish Council initiative visit: <a href="http://www.defra.gov.uk/rural/quality\_parishes/">http://www.defra.gov.uk/rural/quality\_parishes/</a>

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